A Distributed Wireless Soil Displacement Measurement System for Active Monitoring of the Excavation Front of a Gallery

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Abstract: In this paper, an innovative instrument for the measurement of the soil displacement, developed at the laboratories of the Departments of Civil and Information Engineering of the University of Parma and currently under patenting, is presented. The instrument, which can be used in any application requiring soil movement measurements, has been originally designed for the measurement of the axial terrain displacement during gallery excavation. The methods currently in use for this type of measurements, together with their advantages and disadvantages, are illustrated, motivating the reasons which have brought to the proposed innovative measurement system. The instrument allows to measure the soil displacement along an axis perpendicular to the excavation front, during a gallery excavation, through continuous measures based on the use of potentiometers. The transmission system is wireless, with multi-hop communications. This eliminates the limitations imposed by the cables which make the reading and data collection operations difficult. In particular, the wireless technology utilized during the project is based on the Z-Wave protocol, originally developed for home applications. This wireless four-layer communication protocol is based on the creation of a network with a single controller and several slaves. The system has first been set and tested in the laboratories and, then, outdoor (under gravel and clay). The obtained results are very satisfactory.

Keywords: Soil displacement measurements, gallery excavations, multi-hop wireless communications.

1. INTRODUCTION

The control of soil displacements during gallery excavation is of paramount importance for both preventing possible collapses which might make the life of workers at risk and for the definitions of procedures required to reinforce the front [1].

Wireless sensor networks, owing to their flexibility and relatively low cost, have found several applications in various fields. In agriculture, wireless sensor networks have been employed to measure the status of the soil (e.g., the water concentration) in order to improve the efficiency of cultivation (e.g., vineyards) [2, 3]. In the field of civil engineering, sensors can be successfully employed for monitoring the structural health of a building, a bridge, etc. [4, 5].

All the above applications of wireless sensor networking typically require that sensors are buried underground. This class of sensor networks, typically referred to as wireless underground sensor networks [6], have been investigated experimentally in order to understand more clearly the propagation characteristics of underground transmissions [7, 8].

In this paper, we present an innovative wireless instrument for the distributed measurement of the excavation front of a gallery. The key idea is that of building a linear wireless sensor network buried perpendicularly with respect to the excavation front of the gallery. The wireless nodes are equally spaced and connected to potentiometers which measure relative displacements. Owing to the capacity of the used wireless communication system to dynamically recreate the network topology, our system can tolerate, due to the excavation, the consecutive loss of wireless nodes. The proposed instrument is currently under patenting and the preliminary experimental results are very encouraging.

This paper is structured as follows. In Section 2, we describe the common displacement measurement techniques typically used during gallery excavation. In Section 3, we introduce the soil displacement measuring system, based on the use of a potentiometer. In Section 4, we characterize the multi-hop wireless communication strategy utilized by the proposed device. In Section 5, we describe the outcome of the field trials conducted in gravel and clay. Finally, conclusions are drawn in Section 6.

2. COMMON DISPLACEMENT MEASUREMENT TECHNIQUES DURING GALLERY EXCAVATION: BASICS AND SHORTCOMINGS

There are various displacement measurement techniques during the advancement of the gallery excavation. Among the most common techniques, there is the one based on the use of incremental assestimeters. An incremental assestimetrics column is constituted by tube portions, generally 1 m long, in metal or plastic, which are joined together through fixed points. In some models they are equipped with external rings for magnetic induction of the measurement probe.

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The installation of the tube for the successive measurement requires the following steps:

- the probing execution, which can be carried out through core drilling or destruction;
- the installation of the tube portions and of the joints;
- the assembly of the assestimetric column;
- the insertion, in the hole, of the assestimetric column and its external cementification to fix the column to the ground.

The hole is excavated perpendicularly to the excavation front and has a length between 20 m and 25 m, and a diameter around 10 cm.

The assestimetric column is formed by tubes and joints: the tubes are smooth, whereas the joints have properly rough surfaces, which joins them tightly with the ground. This condition is fundamental for displacement detection, since the displacement of the rocky ground causes the displacement of the joints, but not of the tubes in between. The assestimetric column, once inserted, is covered by concrete. Once the entire tube has been inserted, an operator inserts an assestimetric probe, which is built in such a way that it can lock inside the joints (properly shaped) and can detect their positions with a precision of the order of 1 mm.

Before the beginning of the excavation, the positions of the joints are recorded. The reading operation is, therefore, manual. Once the front excavation starts, the various tube portions are removed, starting from those close to the front. At a desired rate, the excavation is paused to measure the displacements caused by the excavation itself. An operator inserts the assestimetric probe to measure its current position. At this point, by computing the difference with respect to the initial position, the displacement caused by the excavation is determined. This operation requires complete stop of the building and the off-line (manual) computation of the terrain displacement behaviour.

Even tough the measurement potential of these instruments is well recognized, it is necessary to underline, in the case of measurement of extrusion of the excavation front, some of their shortcomings, which are strictly related to the design of the instrument itself and to the manual reading operation.

- The first limitation is given by the suspension of any working and excavation activity at the front to allow the operators to access and measure the recorded displacement (the minimum required interruption interval is about 1 hour).
- Moreover, there is the need of a mobile platform which can bring the operators to the height required to insert the probe within the instrument. In this situation, the operators run into a highly risky situation, because of the elevation of the platform and of the exposition to the excavation front for at least one hour.
- Another limitation of this measurement technique is the fact that the measurement is not continuous. In fact, each measure is compared directly with the previou one, which could have been carried out even ten days before.

Finally, the overall time including the reading operation in situ and the final processed data, once the access to the front is freed, is not shorter than 4 hours.

On the basis of the above observations, one can conclude that improving this measurement process is fundamental: (1) to guarantee the highest possible security to the excavation workers and the technicians which perform the reading operations and (2) to follow the front deformation movements "real-time," without any discontinuity between a reading operation and the next one.

3. SOIL DISPLACEMENT MEASURING SYSTEM

A displacement measuring system, which maintains the advantages of systems currently in use while overcoming their limitations, has been designed and implemented. It is currently being patented [9,10].

The system was created ex-novo to simultaneously provide:

I. real time measurements;

II. measure precision;

III. relatively low cost.

The real time measurements are fundamental for the constant monitoring of the displacements and allow for a timely intervention in the case of a hazardous situation.

In order to perform real time measurements the instrument should be inserted and maintained in the pipelines and, consequently, destroyed during the advancement of the excavation front, together with the tubes. This requires the choice of specific instruments which are inexpensive and not practical for other engineering purposes.

All traditional real time displacement measuring systems require transmission and power wires. Therefore, the use of the traditional instrumentation is not practical in cases where the instrument is destroyed during the excavation. To resolve this problem we have envisioned and designed a real time measurement system which can transmit information wirelessly through multi-hop communications, as will be described in Section 4.

3.1. Choice of the Displacement Measuring System

The designed measurement system is modular, comprised of segments of smooth 1 m-long pipes with connecting joints, similar to the currently existing measurement systems.

The measurement system is based on the monitoring of movements between two successive joints. An internal system for connecting the joints, over which the local displacement measurement system can be inserted, has been designed and implemented. The local displacement measurement system has been chosen in order to provide precise measures of linear displacements with limited costs. The chosen instrument is the *potentiometer*.

The principle of operation of the instrument is illustrated briefly in Fig. (1). It is possible to translate a position along a conducting wire or small cylinder simply by detecting the resistance of the portion of conductor comprised between the reference point (one of the extremes) and the current position; the mathematical law which describes this procedure is linear and very simple (as shown in Fig. 1). Since the resistance of the wire is typically too low, we resort to a technical gimmick that consists of wrapping circularly the wire around an elongated cylindrical support or overlapping foils equal to the displacement path. In this case, the wire is much longer compared to the previous case. Therefore, for the same displacement, the length of the conducting wire which is affected by this displacement is much longer with respect to the previous case. The simplicity of the operating principle of the instrument makes it inexpensive and suitable for the intended purpose.



Fig. (1). Operating principle of the potentiometer.

The selected instrument, namely an LT rectilinear displacement transducer manufactured by Gefran [11], was tested to verify the measurement accuracy. For this purpose, it was compared with a Linear Voltage Differential Transformer (LVDT) [12], manufactured by RDP Group [13]. The tests were carried out by imposing the constraint of one splicer per instrument in order to obtain constant speed of execution and thus revealing the difference in readings between the potentiometer and LVDT. The technical characteristics of the considered LT rectilinear transducer can be retrieved from [11]. In particular, the independent linearity is \pm 0.05% and the repeatability is 0.01 mm. We remark, however, that the accuracy of the proposed distributed measurement system depends on the chosen potentiometer: in other words, by selecting a more accurate potentiometer, the accuracy of the overall system can be improved. On the other hand, wireless digital transmissions do not limit the accuracy, provided that the measured displacement is converted into a sufficiently long binary string to be transmitted.

Measurement campaigns were carried out, in an initial phase, extending the piston of the potentiometer and, in a second phase, compressing it, in order to detect any difference in the instrument's behavior. The temperature of the laboratory was kept constant during the test. Differences in the displacement measurements were observed between the two instruments and, given the greater precision and reliability of LVDT, the differences were attributed to an error in the reading of the potentiometer or to data acquisition. Therefore, it was calculated, starting from measurements taken with a tested and reading the voltage values (dimension: [Volt]) of the potentiometer at the lower boundary of the measurement range (with the piston positioned completely within the tool) and at the higher boundary of the measurement range (with the piston positioned completely outside of the tool), how to split the stroke in various segments so that the performance of the piston can be linearized. The obtained results are shown in Fig. (2). As one can see, the potentiometer behaves linearly. The new tests were carried out with laboratory temperature kept constant. The obtained results show that the behavior of the potentiometer is identical to that of LVDT (overlapping curves).

3.2. Internal Support System of the Potentiometer

The potentiometer connects two successive joints through a system (inside the pipes) which does not alter the deforming aspect and allows the measurement of relative shifts between the joints.

Initially, a joint was made by steel bars that, compared to other materials such as wood, glass fibers, carbon, plastics, deliver lower deformability, ease of processing and relatively low productions costs. Subsequently, the bars were made of PVC pipe (outside diameter of 20mm, internal diameter 17mm), a material which is lighter and can be more easily destroyed during the excavation of the gallery.

Since in the progression of the displacements a misalignment can develop between the joints, due to soil displacements, the connection between the joints was made 'flexible' and able to 'absorb' a rotation of few degrees. A hub was thus inserted between the potentiometer and the iron bar to enable the piston to flow along its axis independently of the angle between the potentiometer and the iron bar. This is guaranteed by the axial joint. The chosen hub, shown in Fig. (3), is the IGUBAL AGRM 08, produced by IGUS [14], and the choice for this hub is motivated by the its ease of assembly, lightness, and precision.



Fig. (2). Comparison of the measurement results made with the potentiometer and the LVDT.

On one side, the hubs are screwed on to the iron bar and on the other side to the potentiometer, with the aid of a cylindrical adapter. The bar is also tied to the opposite extremity to allow it to attach to the joint and to support the next potentiometer.



Fig. (3). IGUBAL AGRM axial hub.

The final potentiometric module is shown in Fig. (4). It consists of the joint potentiometer, the adapter, the axial hub, and the iron bar.



Fig. (4). Potentiometric module, composed of joints, potentiometer, adapter, axial hub, and iron bar.

The final potentiometer was tested and compared with an LVDT to assess the accuracy in measurements, which must be guaranteed to the tenth of a millimeter. The experimental results, shown in Fig. (5), show a substantial coincidence of the readings taken with the developed system and with an LVDT.



Fig. (5). Comparison between the displacement predicted by the new instrument and the one predicted by an LVDT.

At this point, once the basic building block for local displacement measurement has been designed and tested, we have developed an innovative multi-hop wireless communication systems to collect the measurements taken by successive potentiometers. This is described in the next section.

4. MULTI-HOP WIRELESS COMMUNICATIONS

4.1. Description of the Wireless Displacement Measurement System

The scheme of a joint of the proposed instrument, with the communication module, is shown in Fig. (6), whereas a logical scheme of the complete instrument (given by a sequence of interconnected basic blocks) is shown in Fig. (7).

In Fig. (6), a potentiometer is connected rigidly to the joint and, simultaneously, transfers the detected data to a radiotransmitter (to which it is connected through a cable).



Fig. (6). Scheme of a joint in the instrument.



Fig. (7). Logical communication scheme between the wireless nodes placed in correspondence to the joints.

Referring to the logical scheme in Fig. (7), the operating principle of our instrument can be described as follows. The extensimetric sensors measure continuously (i.e., with the desidered measurement frequency) the displacements and transmit these data to an external central controller, possibly through *multi-hop communications*. In other words, besides transmitting the data measured by the associated potentiometer, each node can act as a "bridge" for any other node. The external central controller stores the data detected by the measurement instruments, thus allowing a subsequent date processing to monitor in real time the advancement of the excavation front.

The designed instrument shows significant advantages with respect to the traditional measurement systems. In fact, its use does not require any longer to stop the operations of the excavation operations over the gallery front to carry out the displacement measurements, since all communications are wireless and real-time.

4.2. Multi-hop Wireless Network

Sensor networks are receiving an increasing attention in the communication engineering literature, since they represent a fertile research ground and can be successfully used to measure distributed phenomena [15]. In order to do this, the sensing nodes, with their processing and radio transmission equipment, have to be placed over a field to analyze the phenomenon and transmit their readings to a central node, o collector, which can make available, e.g., through Internet, the collected data. The number of issues to be considered to implement a network is not small. These issues span from the independence from a single node of the network performance to scalability (in other words, a network protocol has be designed so that it can work correctly in both micronetworks and huge networks); from "intelligent" node displacement to the use of an efficient routing protocol which allows the highest possible energy savings so that the network lifetime is maximized; from the transmission frequency, which needs to be free from legal constraints (free frequencies defined by the considered standards are those around 2.4 GHz and 868 MHz in Europe), to the cost of each single network element.

For the proposed instrument, we have relied on the Z-Wave communication technology [16]. Z-Wave is a *wireless* communication technology, produced by Zensys [17], designed for residential and commercial uses to control and manage applications such as distance measurements, lighting and electric appliances control, smoke detectors, antiintrusion devices, etc. The idea of the Z-Wave protocol is that of transforming each stand-alone device in a device properly connected to a network which can be monitored and controlled in a wireless fashion. The Z-Wave technology allows the creation of a high quality network at a cost much lower than that of other technologies.

The network will then have to be self-organized, with *mesh* capabilities, to ensure error-free communications, and, in the presence of faults, to use self-adjusting mechanisms to recreate a reliable network. The Z-Wave technology goes in this direction. In particular, in order to reach the goal of a low-cost network, the radiofrequency platform will have to be integrated, built with low-cost processes, and the embedded software will have to be rather "light."

Because of the relatively small dimensions of a home network, typically composed by a number of nodes lower than 200 units placed over a limited area where nodes communicate with each other not too frequently (on average, every 5-10 minutes), the Z-Wave protocol envisions, for home control, the use of packets of at most 15 bytes transmitted at a data-rate of 9.6 kbps. This allows routing with a negligible amount of collisions.

The Z-Wave protocol is a narrow-band *full duplex* protocol designed to guarantee reliable wireless communications in a low-cost control network. The main goal of the protocol is that of sending reliably short control messages from a control unit to one or more network nodes. Although the protocol is not designed to transmit large amounts of data or to transfer streaming or time-dependent data, this still allows the nodes to send small quantities of data (such as local readings) ciclycally (at fixed intervals, through the use of an internal clock at the nodes).

The protocol consists of four layers: the *MAC Layer*, which controls the radio medium; the *Transfer Layer*, which controls packet transmission and reception; the *Routing Layer*, which controls packet routing in the network; and the *Application Layer*, which controls the integrity of the transmitted and received packets. At the bottom of the protocol stack there is the RF medium. In particular, the routing layer of the Z-Wave protocol checks packet routing from one node to another. Both the Controller and the Slaves participate actively in routing a packet in the case that they are listening and have fixed positions. The routing layer is responsible of both the packet transmission and the selection of the relay nodes till the final (destination) node. Moreover, the Controller takes care of the network topology discovery and of the routing table update.

There are 2 types of packets which are used whenever a retransmission is required: *Routed Singlecast Frame Type* and *Routed Acknowledge Frame Type*. The first type of

packets is reserved for a single node which foresees the use of an *acknowledgement* containing the relay information. The packet is then forwarded from node to node till it reaches its destination. An illustrative description of this scenario is shown in Fig. (8).



Fig. (8). Logical communication scheme for *routed singlecast* packets.

The second type of packets, instead, does not contain any data and is used to notify the controller that the routed *singlecast* packet has reached its destination. An illustrative scheme is shown in Fig. (9).



Fig. (9). Logical communication scheme for *r* routed acknowledge packets.

Up to this point, the network solution adopted is attractive from a lab experiment viewpoint. The use of this network directly on the field requires the use of a laptop, connected to the Z-Wave node which acts as controller, with continuous energy supply. Similarly, the Controller itself needs to be supplied with energy. An alternative solution, currently under study, consists in placing, between the laptop (to which the Controller, collecting all the data, is connected) and the group of nodes inserted inside the excavation front, another "buffering" controller. The latter has the capability of collecting a given amount of data generated by the slaves and of sending them to the final controller in an independent way, i.e., without being connected through a cable to any device which can store or visualize the data (being it a PC, a palm-device, or another device).

4.3. Operational Principle

The operational principle of the overall system is the following. Each node, upon request by the controller (direct or indirect, through the buffering collector), reads the value generated by its connected potentiometer, inserts this value (expressed through a 12-bit word) in a data packet, and sends it. The length of the payload, i.e., the useful bytes (neglecting the bytes for the control), is given by:

- 3 bytes for the date;
- 3 bytes for the time;
- 1 byte to identify the originating node;
- 2 bytes for the 12-bit data.

The total number of bytes is then 9. On the basis of these observations, it is possible to determine the maximum number of writing operations on the controller's memory, determining, as a consequence, the network lifetime. Preliminary

considerations have shown the applicability of our instrument to the problem at hand, since its lifetime is limited by the excavation operations, as described in the following paragraph.

Once the instrument is inserted inside the excavation front, the wireless Z-Wave network self-organizes. In other words, a topology self-forms so that each node can reach, possibly through multi-hop communications, a data collector (e.g., a laptop) placed in front of the excavation front. As the excavation proceeds, the node closest to the front is periodically destroyed. Upon its disappearance, the wireless network self-adjusts in order to allow each surviving node to reach the external collector. More precisely: if a node was already directly communicating with the collector, nothing changes (from its viewpoint); if a node was communicating to the just-destroyed node (in order to reach the collector), it will either communicate directly to the collector or find another relay to reach the collector.

5. FIELD TRIALS

5.1. Trials Conducted in Gravel

Before starting taking real measuremements, it was necessary to setup the experimental site. Two phases of the setup can be identified: the first is the installation of the instrument; the second is the layering of the instrument with gravel. During the first phase, four instrumental modules are prepared on the ground, with each one of the modules being composed of a wireless node, a potentiometer, the batteries needed for the supply of power and a pipe. The individual modules are then linked together through specifically designed joints. The modules are arranged in line to form an instrument with a total length of 4 m. A photo of this instrument is shown in Fig. (10).

After the first phase of the experiment preparation the instrument is layered with substantial quantity of gravel, leaving uncovered the ends of the instrument which are placed near the detection site. In this way, it is possible to simulate the conditions of operation in which the instrument might operate once installed in the excavation front. During the experiment, a number of different measurements were taken while simulating different operating conditions. After the instrument has been installed, measurements were first taken with the instrument in the air. In this way, the correct functioning of the wireless network without any interference with the radio transmission of data can be verified. Subsequently, the instrument was covered with lavers of gravel of increasing thickness. With the addition of each layer real time measurements of the relative shift of the module displacement are reported. In fact, instrument is subjected to traction (in the longitudinal direction with respect to its axis) and compression (in the direction perpendicular to it).

Once the conditions in which the measurements are carried out have been described, we now characterize the experimental trials from the viewpoint of the wireless data transmission. A diagram of the *setup* used is shown in Fig. (11). The frequency of transmission of the packet (containing the data on increased detected by potentiometer) by on individual wireless node was set to the value of one packet per second. Regardless of the communication conditions (in the air or with layers of gravel of growing thickness), the Montrasio and Ferrari



Fig. (10). Instrument (four 1m long modules) laid for experimental measures.



Fig. (11). Logical structure of the considered experimental set-up.

Controller of the network receiver packets sent from each of the slave nodes. By monitoring sufficiently long transmission interval it was observed that, occasionally, the package sent by a slave is rarely lost and can not reach the Controller. Moreover, this loss was found to be temporary. In other words, the Slave, having failed to communicate with the controller, does restore its (multi-hop) connection after a few to inter-reading cycles.¹

5.2. Trials Conducted in Clay

Initially we deal with the setup of the experimental trial. As seen earlier, two stages can be identified: the first is the installation of the instrument; the second is the covering of

¹ An inter-reading cycle coincides with the sequence of read operations of the data sent by the network Slaves, interrogated sequentially one after the other. This inter-reading cycle coincides, therefore, with the time interval between two consecutive interrogations, by the Controller, of the same Slave of the network.

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the instrument with clay (unlike the scenario in subsection 5.1, where the instrument was covered with gravel). During the first phase, five instrumental modules are prepared on the ground, with each module being composed of a wireless node, a potentiometer, the batteries needed for the supply of power, and pipes which do not contain any instrumentation.

The individual modules and pipes are arranged in line and interconnected alternating a module with a pipe. In such a way, an 8 m long instrument is obtained, with the potentiometers and the slave nodes of the wireless network one or two meters apart from each other. After the first phase of the experiment preparation, the instrument is layered with about 40 cm of clay, leaving uncovered the ends of the instrument which are placed near the detection site.

In this way, it is possible to simulate the conditions in which the instrument might operate once installed in the excavation front. During the experiment various communication tests were carried out in correspondence to the two different operating conditions. After the instrument has been installed, measurements are taken with the instrument in the air. In this way, the correct functioning of the wireless network, which is integrated in the instrument, can be tested without any physical interference in the RF transmission of the data. Once the instrument was layered under about 40 cm of clay, real time measurements of the module displacement are made. To do this the instrument is subjected to longitudinal traction and perpendicular compressions with respect to its axis.

After describing the conditions in which the measurements are carried out, we move to the description of the experimental tests from the wireless data transmission view point. Unlike what has been considered in subsection 5.1, the frequency of transmission of the packet containing the information relative to the elongation of the potentiometer connected to each wireless node was reduced to one packet every 2, instead of the value of one packet per second used in subsection 5.1. This change was made to allow the operator at the detection site to read on the video screen the values of the measures received from the slave nodes. The time between readings, which was 5s in subsection 5.1, was set to 15s. Ten seconds are needed for the 5 slave nodes to transmit the packet (5 packets * 2 s/packet = 10 s) and the remaining five seconds are considered to allow for the net separation between the consecutive readings.

During the communication trials in the air it was observed that, occasionally, a packet sent by a slave node is lost and does not reach the controller. The communication between the salve and the controller, however, is fully restored after the execution of reading cycles. Again, the nodes affected by this temporary loss of connectivity are slave nodes which have at least a different slave node between them and the controller. Once the first tests were carried out in the air, the instrument was buried under a substantial layer of clay. Under these conditions, tests of data transmission between slave nodes and controller nodes of the network highlight communication problems between slave nodes and controller nodes. In particular, the data packets generated by the slaves placed at four meters from the controller arrive to their destination. Instead, packets sent by the slaves placed at a greater distance are irretrievably lost. Even after the execution of several reading cycles, only a few packets sent by the slaves placed at a longer distance reach their destination.

We remark that the considered trials were preliminary and our system should be tested in other scenarios and, especially, in operative conditions. It is also possible, by having an accurate characterization of the ground to be excavated, to estimate the wireless propagation characteristics [7] and, therefore, properly design the instrument, e.g., considering a maximum number of nodes or a maximum distance between consecutive nodes. The investigation of this aspect goes beyond the scope of this paper.

6. CONCLUSIONS

In this paper, we have presented an innovative distributed measurement systems recently developed at the University of Parma. The basic idea was to use serially connected potentiometric modules (1m apart from each other) to measure relative displacements. The measured displacements are then collected through a multi-hop wireless communication systems "embedded" inside the tubular instrument. The designed (and patented) instrument has been experimentally tested. The obtained results have shown that the instrument is very accurate and, basically, provides the same level of precision of typical LVDT systems. However, the proposed instrument has the potential to significantly reduce the costs involved with active monitoring of the excavation status and to guarantee real-time measurements. Preliminary experimental results have shown that the length of the instrument (i.e., the penetration depth in the gallery front) has to be selected considering the soil type. For instance, day imposer more stringent limitations than grovel.

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